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NOTICE OF ALLOWANCE AND FEE(S) DUE

58505

09/11/2008

STEVENS & SHOWALTER, L.L.P. **BOX IBM** 7019 CORPORATE WAY DAYTON, OH 45459-4238

EXAMINER PHILLIPS, HASSAN A ART UNIT PAPER NUMBER

5486

2151

DATE MAILED: 09/11/2008

RSW920000124US1/IBM012PA

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/912.570	07/24/2001	Roberto DeLima R	SW920000124US1/IBM012I	PA 5486

TITLE OF INVENTION: DYNAMIC HTTP LOAD BALANCING METHOD AND APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	12/11/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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CURRENT CORRESPOND		pape	rs. Each additional	mailing can only be sertificate cannot paper, such as an of mailing or trans	assignmen	domestic mailings of the rany other accompanying at or formal drawing, must		
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BOX IBM			States Postal Service with sufficient postage for first class mail in an addressed to the Mail Stop ISSUE FEE address above or being				class mail in an envelope above, or being facsimile	
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DAYTON, OH	45459-4238							(Depositor's name)
								(Signature)
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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR			ATTORNEY DOCKET NO.		CONFIRMATION NO.
09/912,570	07/24/2001		Roberto DeLima		RS	W920000124US1/	IBM012PA	A 5486
TITLE OF INVENTION	N: DYNAMIC HTTP LO	AD BALANCING METI	HOD AND APPARAT	ГUS				
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nonprovisional	NO	\$1440	\$300		\$0	\$17	740	12/11/2008
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PHILLIPS,	HASSAN A	2151	709-222000					
	ence address or indicatio	n of "Fee Address" (37	2. For printing on the patent front page, list					
CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
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Number is required	02 or more recent) attach	iea. Use of a Customer	2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print of	or typ	e)			
			*	• •	*	e is identified belo	ow the do	cument has been filed for
recordation as set for	th in 37 CFR 3.11. Comp	pletion of this form is NO	T a substitute for filin	g an a	ssignment.		*	cument has been filed for
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Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent):	Ш	Individual 🖵 Co	rporation or other p	orivate grou	up entity Government
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s):	(Pleas	se first reapply an	y previously paid	issue fee s	hown above)
			A check is enclosed.					
Publication Fee (No small entity discount permitted)			Payment by credit card. Form PTO-2038 is attached.					
Advance Order - # of Copies The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this for					iciency, or credit any extra copy of this form).			
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NOTE: The Issue Fee ar interest as shown by the	nd Publication Fee (if requee records of the United Sta	uired) will not be accepte ttes Patent and Trademark	d from anyone other the Office.	han th	e applicant; a regis	stered attorney or a	gent; or the	e assignee or other party in
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Box 1450, Alexandria, V Alexandria, Virginia 223	Virginia 22313-1450. DC 313-1450	O NOT SEND FEES OR	COMPLETED FORM	IS TC	THIS ADDRESS	. SEND TO: Com	nissioner f	or Patents, P.O. Box 1450,
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58505 75	90 09/11/2008		EXAM	IINER	
STEVENS & SH	OWALTER, L.L.P.	PHILLIPS, HASSAN A			
BOX IBM		ART UNIT	PAPER NUMBER		
7019 CORPORAT DAYTON, OH 454		2151	_		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 657 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 657 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)					
	Application No.	Applicant(s)					
Notice of Allowability	09/912,570	DELIMA ET AL.					
Notice of Allowability	Examiner	Art Unit					
	HASSAN PHILLIPS	2151					
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communicatio IGHTS. This application is subject	oplication. If not included n will be mailed in due course. THIS					
1. \boxtimes This communication is responsive to <u>communications filed</u>	<i>July</i> 1, 2008.						
2. X The allowed claim(s) is/are <u>1,3-6,8-12,14 and 16-24</u> .							
3. ☐ Acknowledgment is made of a claim for foreign priority ura) ☐ All b) ☐ Some* c) ☐ None of the:							
 Certified copies of the priority documents have 	e been received.						
Certified copies of the priority documents have	been received in Application No	·					
Copies of the certified copies of the priority do	cuments have been received in this	national stage application from the					
International Bureau (PCT Rule 17.2(a)).							
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements					
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give							
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.						
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached							
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date							
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the	Office action of					
ldentifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t							
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT							
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of Informal I	Patent Application					
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary						
	Paper No./Mail Da	ate					
Information Disclosure Statements (PTO/SB/CE), Paper No./Mail Date	7. Texaminer's Amend	ment/Gemment					
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛛 Examiner's Statem	ent of Reasons for Allowance					
ŭ	9.	9. Other					
	/John Follansbee/ Supervisory Patent Ex	caminer, Art Unit 2151					

REASONS FOR ALLOWANCE

Page 2

The following is an examiner's statement of reasons for allowance: After giving further search and consideration to applicants amended claimed invention, examiner has determined that the amendments made to the claimed invention define the claimed invention distinctly and clearly enough to distinguish over the teachings of the prior art. More specifically, the prior art fails to expressly disclose, nor would it have been obvious to modify the prior art to expressly disclose, "initializing a load balancer by identifying to said load balancer, address information for a plurality of servers in a server farm; individually polling each of the plurality of servers by the load balancer so as to obtain a configuration file from each polled server if available, wherein: each obtained configuration file contains the parameters necessary to configure the load balancer for bringing the associated polled server on-line", as similarly recited in independent claims 1, 10, and 12.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HASSAN PHILLIPS whose telephone number is (571)272-3940. The examiner can normally be reached on Mon-Fri (8am-5pm).

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Art Unit: 2151

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Follansbee can be reached on 571-272-3964. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Hassan Phillips
Examiner, Art Unit 2151
/John Follansbee/
Supervisory Patent Examiner, Art Unit 2151